

## ON A WARING-GOLDBACH PROBLEM INVOLVING SQUARES, CUBES AND BIQUADRATES

YUHUI LIU

ABSTRACT. Let  $P_r$  denote an almost-prime with at most  $r$  prime factors, counted according to multiplicity. In this paper, it is proved that for every sufficiently large even integer  $N$ , the equation

$$N = x^2 + p_1^2 + p_2^3 + p_3^3 + p_4^4 + p_5^4$$

is solvable with  $x$  being an almost-prime  $P_4$  and the other variables primes. This result constitutes an improvement upon that of Lü [7].

### 1. Introduction

Let  $N, k_1, k_2, \dots, k_s$  be natural numbers such that  $2 \leq k_1 \leq k_2 \leq \dots \leq k_s, N > s$ . Waring's problem of mixed powers concerns the representation of  $N$  as the form

$$(1.1) \quad N = x_1^{k_1} + \dots + x_s^{k_s}.$$

Not very much is known about results of this type. For references in this aspect, we refer the reader to section P12 of LeVeque's *Reviews in number theory*, the bibliography in Vaughan [9] and the recent papers by J. Brüdern and by T. D. Wooley.

In principle the Hardy-Littlewood method is applicable to problems of this kind, but one has to overcome various difficulties not experienced in the pure Waring's problem (1.1) with  $k_1 = k_2 = \dots = k_s$ . In particular, the choice of the relevant parameters in the definitions of major and minor arcs tends to become complicated if a deeper representation problem (1.1) is under consideration.

In 1969, Vaughan [8] investigated the equation

$$x_1^2 + x_2^2 + x_3^3 + x_4^3 + x_5^4 + x_6^4 = N.$$

---

Received October 26, 2017; Revised September 20, 2018; Accepted October 11, 2018.

2010 *Mathematics Subject Classification*. 11P32, 11N36.

*Key words and phrases*. Waring-Goldbach problem, Hardy-Littlewood method, almost-prime, sieve theory.

This work was supported by the National Natural Science Foundation of China (grant no.11771333).

He proved that for any sufficiently large integer  $N$ , the following asymptotic formula

$$\sum_{x_1^2+x_2^2+x_3^3+x_4^3+x_5^4+x_6^4=N} 1 = \frac{\Gamma^2(\frac{3}{2})\Gamma^2(\frac{4}{3})\Gamma^2(\frac{5}{4})}{\Gamma(\frac{13}{6})} \tilde{\mathfrak{G}}(N) N^{\frac{7}{6}} + O(N^{\frac{7}{6}-\frac{1}{96}+\varepsilon})$$

holds, where

$$\tilde{\mathfrak{G}}(N) = \sum_{q=1}^{\infty} q^{-6} \sum_{\substack{a=1 \\ (a,q)=1}}^q S_2^2(q,a) S_3^2(q,a) S_4^2(q,a) e\left(\frac{-aN}{q}\right),$$

$$S_k(q,a) = \sum_{r=1}^q e\left(\frac{ar^k}{q}\right), \quad e(\alpha) = e^{2\pi i\alpha}.$$

Let  $P_r$  denote an almost-prime with at most  $r$  prime factors, counted according to multiplicity. In 2015, motivated by Brüdern [1,2], Lü [7] proved that for every sufficiently large even integer  $N$ , the equation

$$(1.2) \quad N = x^2 + p_1^2 + p_2^3 + p_3^3 + p_4^4 + p_5^4$$

is solvable with  $x$  being an almost-prime  $P_6$  and the  $p_j (j = 1, 2, 3, 4, 5)$  primes.

In this paper, we shall obtain the following sharper result.

**Theorem.** *For every sufficiently large even integer  $N$ , the number of solutions of the equation*

$$N = x^2 + p_1^2 + p_2^3 + p_3^3 + p_4^4 + p_5^4$$

*with  $x$  being an almost-prime  $P_4$  and the other variables primes, is*

$$\gg \frac{N^{\frac{7}{6}}}{\log^6 N}.$$

In the proof of the Theorem, we shall employ the Hardy-Littlewood method and the linear sieve theory. The improvement of our Theorem upon that of Lü [7] stems from the use of the linear sieve theory with the bilinear error term instead of the linear sieve theory with the linear error term utilized by Lü [7].

## 2. Notation and some preliminary lemmas

Throughout this paper,  $\varepsilon \in (0, 10^{-10})$ . By  $N$  we denote a sufficiently large even integer in terms of  $\varepsilon$ . The letter  $p$ , with or without subscript, is reserved for a prime number. The constants in  $O$ -term and  $\ll$ -symbol depend at most on  $\varepsilon$ . By  $A \sim B$  we mean that  $B < A \leq 2B$ . We denote by  $(m, n)$  the greatest common divisor of  $m$  and  $n$ . By  $\tau(n)$  we denote the divisor function. As usual,  $\varphi(n)$  stands for Euler's function. We use  $e(\alpha)$  to denote  $e^{2\pi i\alpha}$  and  $e_q(\alpha) = e(\alpha/q)$ . By  $a(m)$ ,  $b(n)$  we denote arithmetic functions satisfying  $|a(m)| \leq 1$  and

$|b(n)| \leq 1$ . We denote by  $\sum_{r(q)}$  and  $\sum_{r(q)^*}$  sums with  $r$  running over a complete system and a reduced system of residues modulo  $q$  respectively. We set

$$A = 10^{10}, \quad Q_0 = \log^{20A} N, \quad Q_1 = N^{\frac{1}{3}+10\epsilon}, \quad Q_2 = N^{\frac{1}{2}},$$

$$D = N^{\frac{1}{8}-10\epsilon}, \quad z = D^{\frac{1}{3}}, \quad U_k = 0.5N^{\frac{1}{k}},$$

$$\mathcal{M}_r = \{m \mid m \sim U_2, m = p_1 p_2 \cdots p_r, z \leq p_1 \leq p_2 \leq \cdots \leq p_r\} \quad (5 \leq r \leq 12),$$

$$\mathcal{N}_r = \{n \mid n = p_1 \cdots p_{r-1}, z \leq p_1 \leq p_2 \leq \cdots \leq p_{r-1}, p_1 \cdots p_{r-2} p_{r-1}^2 \leq 2U_2\} \\ (5 \leq r \leq 12),$$

$$f_k(\alpha) = \sum_{p \sim U_k} (\log p) e(\alpha p^k), \quad g_r(\alpha) = \sum_{\substack{n \in \mathcal{N}_r \\ np \sim U_2}} e(\alpha (np)^2) \frac{\log p}{\log \frac{U_2}{n}},$$

$$S_k^*(q, a) = \sum_{r(q)^*} e_q(ar^k), \quad S_k(q, a) = \sum_{r(q)} e_q(ar^k),$$

$$B_d(q, N) = \sum_{a(q)^*} S_2(q, ad^2) S_2^*(q, a) S_3^{*2}(q, a) S_4^{*2}(q, a) e_q(-aN),$$

$$A_d(q, N) = \frac{B_d(q, N)}{q\varphi^5(q)}, \quad \mathfrak{S}_d(N) = \sum_{q=1}^{\infty} A_d(q, N), \quad \mathfrak{S}(N) = \mathfrak{S}_1(N).$$

For  $\alpha = \frac{a}{q} + \beta$ , let

$$u_k(\beta) = \int_{U_k}^{2U_k} e(\beta u^k) du, \quad U_k(\alpha) = \frac{S_k^*(q, a)}{\varphi(q)} u_k(\beta),$$

$$W(\alpha) = \sum_{m \leq D^{\frac{2}{3}}, n \leq D^{\frac{1}{3}}} \frac{a(m)b(n)}{mnq} S_2(q, am^2 n^2) u_2(\beta),$$

$$\mathfrak{I}(N) = \int_{-\infty}^{\infty} u_2^2(\beta) u_3^2(\beta) u_4^2(\beta) e(-\beta N) d\beta.$$

**Lemma 2.1.** *Let*

$$(2.1) \quad h(\alpha) = \sum_{m \leq D^{\frac{2}{3}}} a(m) \sum_{n \leq D^{\frac{1}{3}}} b(n) \sum_{l \sim \frac{U_2}{mn}} e(\alpha (mnl)^2).$$

*Then for  $\alpha \in \mathfrak{m}_2$ , we have*

$$h(\alpha) \ll N^{\frac{1}{3}-3\epsilon}.$$

*Proof.* It follows from (4.6) in Brüdern and Kawada [3] that

$$\begin{aligned} h(\alpha) &\ll \frac{N^{\frac{1}{2}+\varepsilon}}{q^{\frac{1}{2}}(1+N|\beta|)^{\frac{1}{2}}} + N^{\frac{1}{3}-3\varepsilon} \\ &\ll N^{\frac{1}{3}-3\varepsilon}. \end{aligned} \quad \square$$

For  $(a, q) = 1, 1 \leq a \leq q$ , put

$$\begin{aligned} \mathfrak{M}_0(q, a) &= \left( \frac{a}{q} - \frac{Q_0^5}{N}, \frac{a}{q} + \frac{Q_0^5}{N} \right], \quad \mathfrak{M}_0 = \bigcup_{1 \leq q \leq Q_0^5} \bigcup_{\substack{a=1 \\ (a,q)=1}}^q \mathfrak{M}_0(q, a), \\ \mathfrak{M}(q, a) &= \left( \frac{a}{q} - \frac{1}{qQ_2}, \frac{a}{q} + \frac{1}{qQ_2} \right], \quad \mathfrak{M} = \bigcup_{1 \leq q \leq Q_0^5} \bigcup_{\substack{a=1 \\ (a,q)=1}}^q \mathfrak{M}(q, a), \\ \mathfrak{J}_0 &= \left( -\frac{1}{Q_2}, 1 - \frac{1}{Q_2} \right], \quad \mathfrak{m}_0 = \mathfrak{M} \setminus \mathfrak{M}_0, \\ \mathfrak{m}_1 &= \bigcup_{Q_0^5 < q \leq Q_1} \bigcup_{\substack{a=1 \\ (a,q)=1}}^q \mathfrak{M}(q, a), \quad \mathfrak{m}_2 = \mathfrak{J}_0 \setminus (\mathfrak{M} \cup \mathfrak{m}_1). \end{aligned}$$

Then we have the Farey dissection

$$(2.2) \quad \mathfrak{J}_0 = \mathfrak{M}_0 \cup \mathfrak{m}_0 \cup \mathfrak{m}_1 \cup \mathfrak{m}_2.$$

**Lemma 2.2.** For  $\alpha = \frac{a}{q} + \beta \in \mathfrak{M}_0$ , we have

$$(2.3) \quad g_r(\alpha) = \frac{c_r U_2(\alpha)}{\log U_2} + O\left(U_2 \exp(-\log^{\frac{1}{3}} N)\right), \quad 5 \leq r \leq 12,$$

where

$$(2.4) \quad c_r = (1 + O(\varepsilon)) \times \int_{r-1}^{11} \frac{dt_1}{t_1} \int_{r-2}^{t_1-1} \frac{dt_2}{t_2} \cdots \int_3^{t_{r-4}-1} \frac{dt_{r-3}}{t_{r-3}} \int_2^{t_{r-3}-1} \frac{\log(t_{r-2}-1) dt_{r-2}}{t_{r-2}}.$$

*Proof.* It follows from the arguments used in the proof of Lemma 4 in Cai [4].  $\square$

### 3. Mean value estimations

In this section, we give two propositions for the proof of the Theorem.

**Proposition 3.1.** Define

$$J_d(N) = \sum_{\substack{(d!)^2 + p_1^2 + p_2^2 + p_3^2 + p_4^2 + p_5^2 = N \\ d! \sim U_2, \quad p_1 \sim U_2 \\ p_2 \sim U_3, \quad p_3 \sim U_3 \\ p_4 \sim U_4, \quad p_5 \sim U_4}} \prod_{j=1}^5 \log p_j.$$

Then we have

$$\sum_{m \leq D^{\frac{2}{3}}} a(m) \sum_{n \leq D^{\frac{1}{3}}} b(n) \left( J_{mn}(N) - \frac{\mathfrak{S}_{mn}(N)}{mn} \mathfrak{J}(N) \right) \ll \frac{N^{\frac{7}{6}}}{\log^A N}.$$

*Proof.* The proof of Proposition 3.1 follows from the arguments used in the proof of Lemma 3.1 in Lü [7] and Lemma 2.1.  $\square$

By Lemma 2.2 and arguments similar to that used in the proof of Proposition 3.1, we have:

**Proposition 3.2.** For  $5 \leq r \leq 12$ , let

$$J_d^{(r)}(N) = \sum_{\substack{(dt)^2 + (np)^2 + p_1^3 + p_2^3 + p_3^4 + p_4^4 = N \\ dt \sim U_2, np \sim U_2, n \in \mathcal{N}_r \\ p_1 \sim U_3, p_2 \sim U_3 \\ p_3 \sim U_4, p_4 \sim U_4}} \left( \frac{\log p}{\log \frac{U_2}{n}} \prod_{j=1}^4 \log p_j \right).$$

Then we have

$$\sum_{m \leq D^{\frac{2}{3}}} a(m) \sum_{n \leq D^{\frac{1}{3}}} b(n) \left( J_{mn}^{(r)}(N) - c_r \frac{\mathfrak{S}_{mn}(N)}{mn \log U_2} \mathfrak{J}(N) \right) \ll \frac{N^{\frac{7}{6}}}{\log^A N},$$

where  $c_r$  is defined by (2.4).

#### 4. Proof of the Theorem

In this section,  $f(s)$  and  $F(s)$  denote the classical functions in the linear sieve theory, and  $\gamma = 0.577 \dots$  denotes Euler's constant. Then by (8.2.8) and (8.2.9) in Halberstam and Richert [5], we have

$$f(s) = \frac{2e^\gamma \log(s-1)}{s}, \quad 2 \leq s \leq 4,$$

$$F(s) = \frac{2e^\gamma}{s}, \quad 1 \leq s \leq 3.$$

In the proof of the Theorem, we adopt the following notation:

$$\omega(d) = \frac{\mathfrak{S}_d(N)}{\mathfrak{S}(N)}, \quad \mathfrak{P} = \prod_{2 < p < z} p,$$

$$\mathfrak{N}(z) = \prod_{2 < p < z} \left( 1 - \frac{\omega(p)}{p} \right),$$

$$\log \mathbf{U} = (\log U_2)(\log U_3)^2(\log U_4)^2,$$

$$\log 2\mathbf{U} = (\log 2U_2)(\log 2U_3)^2(\log 2U_4)^2.$$

It follows from Lemma 4.3 in Lü [7] that the function  $\omega(d)$  is multiplicative, and

$$0 \leq \omega(p) < p, \quad \omega(p) = 1 + O(p^{-1})$$

for each prime  $p$ . Then by Mertens's prime number theorem, it is easy to see that

$$(4.1) \quad \mathfrak{N}(z) \asymp \frac{1}{\log N}.$$

Let  $R(N)$  denote the number of solutions of the equation (1.2) with  $x$  being a  $P_4$  and the other variables primes. Upon noting the fact that the conditions  $l \sim U_2$ ,  $(l, \mathfrak{P}) = 1$  imply that  $l$  has at most 12 prime factors, counted according to multiplicity, we have

$$(4.2) \quad \begin{aligned} R(N) &\geq \sum_{\substack{l^2+p_1^2+p_2^3+p_3^3+p_4^4+p_5^4=N \\ l \sim U_2, (l, \mathfrak{P})=1, p_1 \sim U_2 \\ p_2 \sim U_3, p_3 \sim U_3 \\ p_4 \sim U_4, p_5 \sim U_4}} 1 - \sum_{r=5}^{12} \sum_{\substack{h^2+p_1^2+p_2^3+p_3^3+p_4^4+p_5^4=N \\ h \in \mathcal{M}_r, p_1 \sim U_2 \\ p_2 \sim U_3, p_3 \sim U_3 \\ p_4 \sim U_4, p_5 \sim U_4}} 1 \\ &\geq \sum_{\substack{l^2+p_1^2+p_2^3+p_3^3+p_4^4+p_5^4=N \\ l \sim U_2, (l, \mathfrak{P})=1, p_1 \sim U_2 \\ p_2 \sim U_3, p_3 \sim U_3 \\ p_4 \sim U_4, p_5 \sim U_4}} 1 - \sum_{r=5}^{12} \sum_{\substack{(np)^2+p_1^2+p_2^3+p_3^3+p_4^4+p_5^4=N \\ n \in \mathcal{N}_r, np \sim U_2, p_1 \sim U_2 \\ p_2 \sim U_3, p_3 \sim U_3 \\ p_4 \sim U_4, p_5 \sim U_4}} 1 \\ &= \mathcal{R}(N) - \sum_{r=5}^{12} \mathcal{R}_r(N), \text{ say,} \end{aligned}$$

where the fact  $\mathcal{M}_r \subseteq \{np \mid n \in \mathcal{N}_r, np \sim U_2\}$  is employed.

In the following subsections we shall give a non-trivial lower bound for  $R(N)$  by the linear sieve theory with the bilinear error term.

#### 4.1. The lower bound for $\mathcal{R}(N)$

Let

$$\mathcal{N}(l) = \sum_{\substack{l^2+p_1^2+p_2^3+p_3^3+p_4^4+p_5^4=N \\ p_1 \sim U_2, p_2 \sim U_3 \\ p_3 \sim U_3, p_4 \sim U_4 \\ p_5 \sim U_4}} \prod_{j=1}^5 \log p_j$$

and

$$\mathcal{E}(d) = \sum_{\substack{l \sim U_2 \\ l \equiv 0 \pmod{d}}} \mathcal{N}(l) - \frac{\omega(d)}{d} \mathfrak{S}(N) \mathfrak{J}(N).$$

Then by Theorem 1 in Iwaniec [6] and Proposition 3.1, we get

$$(4.3) \quad \begin{aligned} \mathcal{R}(N) &\geq \frac{1}{\log 2\mathbf{U}} \sum_{\substack{l \sim U_2 \\ (l, \mathfrak{P})=1}} \mathcal{N}(l) \\ &\geq \left(1 + O\left(\log^{-\frac{1}{3}} D\right)\right) \frac{f(3) \mathfrak{S}(N) \mathfrak{J}(N) \mathfrak{N}(z)}{\log \mathbf{U}} + O\left(\frac{N^{\frac{7}{6}}}{\log^A N}\right). \end{aligned}$$

#### 4.2. The upper bound for $\mathcal{R}_r(N)$ ( $5 \leq r \leq 12$ )

For  $5 \leq r \leq 12$ , let

$$\mathcal{N}_r(l) = \sum_{\substack{(np)^2 + l^2 + p_1^3 + p_2^3 + p_3^4 + p_4^4 = N \\ n \in \mathcal{N}_r, np \sim U_2, p_1 \sim U_3 \\ p_2 \sim U_3, p_3 \sim U_4 \\ p_4 \sim U_4}} \left( \frac{\log p}{\log \frac{U_2}{n}} \prod_{j=1}^4 \log p_j \right)$$

and

$$\mathcal{E}_r(d) = \sum_{\substack{l \sim U_2 \\ l \equiv 0 \pmod{d}}} \mathcal{N}_r(l) - \frac{c_r \omega(d)}{d \log U_2} \mathfrak{S}(N) \mathfrak{J}(N),$$

where  $c_r$  is defined by (2.4). Then by Theorem 1 in Iwaniec [6] and Proposition 3.2, for  $5 \leq r \leq 12$ , we have

$$\begin{aligned} (4.4) \quad \mathcal{R}_r(N) &\leq \frac{\log U_2}{\log \mathbf{U}} \sum_{\substack{l \sim U_2 \\ (l, \mathfrak{P})=1}} \mathcal{N}_r(l) \\ &\leq \left( 1 + O\left(\log^{-\frac{1}{3}} D\right) \right) \frac{F(3) c_r \mathfrak{S}(N) \mathfrak{J}(N) \mathfrak{N}(z)}{\log \mathbf{U}} + O\left(\frac{N^{\frac{7}{6}}}{\log^A N}\right). \end{aligned}$$

#### 4.3. Proof of the Theorem

By numerical integration, we have

$$(4.5) \quad c_5 < 0.2215, \quad c_r < 0.0280 \text{ for } 6 \leq r \leq 12$$

and

$$(4.6) \quad \sum_{r=5}^{12} c_r < 0.4175.$$

We conclude from (4.1)-(4.4) and (4.6) that

$$\begin{aligned} (4.7) \quad R(N) &\geq (0.6931 - 0.4175) \frac{2e^\gamma \mathfrak{S}(N) \mathfrak{J}(N) \mathfrak{N}(z)}{3 \log \mathbf{U}} + O\left(\frac{N^{\frac{7}{6}}}{\log^A N}\right) \\ &\gg \frac{N^{\frac{7}{6}}}{\log^6 N}, \end{aligned}$$

where (3.17) and Lemma 4.2 in Lü [7] are employed. Now, by (4.7), the proof of the Theorem is completed.

**Acknowledgement.** The author would like to thank the anonymous referee for his/her patience and time in refereeing this paper.

### References

- [1] J. Brüdern, *Sieves, the circle method and Waring's problem for cubes*, *Mathematica Gottingensis* **51** (1991).
- [2] ———, *A sieve approach to the Waring-Goldbach problem I. Sums of four cubes*, *Ann. Sci. Ec. Norm. Sup.* **28** (1995), no. 4, 461–476.
- [3] J. Brüdern and K. Kawada, *Ternary problems in additive prime number theory*, in *Analytic number theory (Beijing/Kyoto, 1999)*, 39–91, *Dev. Math.*, 6, Kluwer Acad. Publ., Dordrecht, 2002.
- [4] Y. Cai, *On Waring-Goldbach problem involving fourth powers*, *J. Number Theory* **131** (2011), no. 8, 1347–1362.
- [5] H. Halberstam and H.-E. Richert, *Sieve Methods*, Academic Press, London, 1974.
- [6] H. Iwaniec, *A new form of the error term in the linear sieve*, *Acta Arith.* **37** (1980), 307–320.
- [7] X. D. Lü, *The Waring-Goldbach problem: two squares, two cubes and two biquadrates*, *Chinese J. Contemp. Math.* **36** (2015), no. 2, 145–156; translated from *Chinese Ann. Math. Ser. A* **36** (2015), no. 2, 161–174.
- [8] R. C. Vaughan, *On the representation of numbers as sums of squares, cubes and fourth powers and on the representation of numbers as sums of powers of primes*, University of London, 1969.
- [9] ———, *The Hardy-Littlewood Method*, second edition, *Cambridge Tracts in Mathematics*, **125**, Cambridge University Press, Cambridge, 1997.

YUHUI LIU  
SCHOOL OF MATHEMATICAL SCIENCES  
TONGJI UNIVERSITY  
SHANGHAI, 200092, P. R. CHINA  
*Email address:* tjliuyuhui@outlook.com