

COEFFICIENT ESTIMATES FOR A SUBCLASS OF ANALYTIC BI-UNIVALENT FUNCTIONS

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ABSTRACT. In this work, we use the Faber polynomial expansions to find upper bounds for the coefficients of analytic bi-univalent functions in subclass $\Sigma(\tau, \gamma, \varphi)$ which is defined by subordination conditions in the open unit disk \mathbb{U} . In certain cases, our estimates improve some of those existing coefficient bounds.

1. Introduction

Let \mathbb{C} be the set of complex numbers and $\mathbb{N} = \{1, 2, \dots\}$. Let \mathcal{A} be a class of analytic functions in the open unit disk $\mathbb{U} = \{z \in \mathbb{C} : |z| < 1\}$ of the form

$$(1.1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n.$$

We also denote by \mathcal{S} the class of all functions in class \mathcal{A} which are univalent in \mathbb{U} .

An analytic function f is said to be subordinate to another analytic function g , written as

$$f(z) \prec g(z) \quad (z \in \mathbb{U}),$$

if there exists a Schwarz function $w(z) = \sum_{n=1}^{\infty} c_n z^n$, which is analytic in \mathbb{U} with

$$w(0) = 0 \quad \text{and} \quad |w(z)| < 1 \quad (z \in \mathbb{U}),$$

such that $f(z) = g(w(z))$. In particular, if the function g is univalent in \mathbb{U} , then $f \prec g$ if and only if $f(0) = g(0)$ and $f(\mathbb{U}) \subseteq g(\mathbb{U})$. For the Schwarz function $w(z)$ we note that $|c_n| \leq 1$, (see [6]).

It is well known that every function $f \in \mathcal{S}$ has an inverse f^{-1} , which is defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{U})$$

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and

$$f(f^{-1}(w)) = w \quad \left(|w| < r_0(f); r_0(f) \geq \frac{1}{4} \right).$$

where

$$(1.2) \quad \begin{aligned} g(w) &= f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2 a_3 + a_4)w^4 + \dots \\ &=: w + \sum_{n=2}^{\infty} A_n w^n. \end{aligned}$$

A function $f \in \mathcal{A}$ is said to be bi-univalent in \mathbb{U} if both f and f^{-1} are univalent in \mathbb{U} . The class consisting of bi-univalent functions is denoted by Σ . Finding bounds for the coefficients of classes of analytic bi-univalent functions was first introduced and studied by Lewin in [10] where it was proved that $|a_2| < 1.51$. Not much is known about the bounds on the higher coefficients $|a_n|$ for $n > 3$. Ali et al. [4] remarked that finding the bounds for $|a_n|$ ($n > 3$) for the bi-univalent functions is an open problem.

The Faber polynomials introduced by Faber [7] play an important role in various areas of mathematical sciences, especially in geometric function theory. By using the Faber polynomial expansion of functions $f \in \mathcal{S}$ of the form (1.1), the coefficients of its inverse map $g = f^{-1}$ may be expressed, (see for details [2] and [3]),

$$(1.3) \quad g(w) = f^{-1}(w) = w + \sum_{n=2}^{\infty} \frac{1}{n} K_{n-1}^{-n}(a_2, a_3, \dots, a_n) w^n,$$

where

$$\begin{aligned} K_{n-1}^{-n} &= \frac{(-n)!}{(-2n+1)!(n-1)!} a_2^{n-1} + \frac{(-n)!}{(2(-n+1)!(n-3)!} a_2^{n-3} a_3 \\ &+ \frac{(-n)!}{(-2n+3)!(n-4)!} a_2^{n-4} a_4 + \frac{(-n)!}{(2(-n+2)!(n-5)!} a_2^{n-5} \\ &\times [a_5 + (-n+2)a_3^2] + \frac{(-n)!}{(-2n+5)!(n-6)!} a_2^{n-6} [a_6 + (-2n+5)a_3 a_4] \\ &+ \sum_{j \geq 7} a_2^{n-j} V_j, \end{aligned}$$

such that V_j with $7 \leq j \leq n$ is a homogeneous polynomial in the variables a_2, a_3, \dots, a_n , (see for details [3]). In particular, the first three terms of K_{n-1}^{-n} are

$$K_1^{-2} = -2a_2, \quad K_2^{-3} = 3(2a_2^2 - a_3), \quad K_3^{-4} = -4(5a_2^3 - 5a_2 a_3 + a_4).$$

In general, for any $p \in \mathbb{Z} = \{0, \pm 1, \pm 2, \dots\}$, an expansion of K_n^p is (see for details [2, 15] or [3, page 349])

$$K_n^p = p a_{n+1} + \frac{p(p-1)}{2} D_n^2 + \frac{p!}{(p-3)!3!} D_n^3 + \dots + \frac{p!}{(p-n)!n!} D_n^n,$$

where $D_n^p = D_n^p(a_2, a_3, \dots)$ and by [9] (see for details [1, 13, 15])

$$(1.4) \quad D_n^m(a_2, a_3, \dots, a_{n+1}) = \sum \frac{m!(a_2)^{\mu_1} \cdots (a_{n+1})^{\mu_n}}{\mu_1! \cdots \mu_n!},$$

where the sum is taken over all nonnegative integers μ_1, \dots, μ_n satisfying

$$\begin{cases} \mu_1 + \mu_2 + \cdots + \mu_n = m, \\ \mu_1 + 2\mu_2 + \cdots + n\mu_n = n. \end{cases}$$

It is clear that $D_n^n(a_2, a_3, \dots, a_{n+1}) = a_2^n$.

Throughout this paper, we assume that φ is an analytic function with positive real part in the unit disk \mathbb{U} , satisfying $\varphi(0) = 1$, $\varphi'(0) > 0$ and $\varphi(\mathbb{U})$ is symmetric with respect to the real axis. Such a function has series expansion of the form

$$\varphi(z) = 1 + B_1z + B_2z^2 + B_3z^3 + \cdots \quad (B_1 > 0).$$

Let that $u(z)$ and $v(z)$ are analytic in the unit disk \mathbb{U} with $u(0) = v(0) = 0$, $|u(z)| < 1$, $|v(z)| < 1$, and suppose that

$$(1.5) \quad u(z) = z(p_1 + \sum_{n=2}^{\infty} p_n z^{n-1}) \text{ and } v(z) = z(q_1 + \sum_{n=2}^{\infty} q_n z^{n-1}) \quad (z \in \mathbb{U}).$$

Then

$$(1.6) \quad |p_1| \leq 1, |p_n| \leq 1 - |p_1|^2, |q_1| \leq 1, |q_n| \leq 1 - |q_1|^2, \quad (n \in \mathbb{N} \setminus \{1\}),$$

for example, see [11, Page 171].

Recently, Srivastava and Bansal [12] (see also [5, Page 57]) introduced the subclass of Σ as follow.

Definition 1. Let $0 \leq \gamma \leq 1$ and $\tau \in \mathbb{C} \setminus \{0\}$. A function $f \in \Sigma$ is said to be in the subclass $\Sigma(\tau, \gamma, \varphi)$ if each of the following subordination conditions holds true:

$$1 + \frac{1}{\tau}[f'(z) + \gamma z f''(z) - 1] \prec \varphi(z) \quad (z \in \mathbb{U})$$

and

$$1 + \frac{1}{\tau}[g'(w) + \gamma w g''(w) - 1] \prec \varphi(w) \quad (w \in \mathbb{U})$$

where $g = f^{-1}$ is given by (1.2).

The purpose of our study is to obtain bounds for the general coefficients $|a_n|$ ($n \geq 3$) by using Faber polynomial expansion under certain conditions for analytic bi-univalent functions in subclass $\Sigma(\tau, \gamma, \varphi)$ and also we obtain improvements on the bounds for the first two coefficients $|a_2|$ and $|a_3|$ of functions in this subclass. In certain cases, our estimates improve some of those existing coefficient bounds.

2. Coefficient estimates

Now, we obtain an upper bound for the coefficients $|a_n|$ of functions in the subclass $\Sigma(\tau, \gamma, \varphi)$.

Theorem 1. *For $0 \leq \gamma \leq 1$ and $\tau \in \mathbb{C} \setminus \{0\}$, let the function $f \in \Sigma(\tau, \gamma, \varphi)$ be given by (1.1). If $a_k = 0$ for $2 \leq k \leq n-1$, then*

$$(2.1) \quad |a_n| \leq \frac{|\tau| B_1}{n[1 + \gamma(n-1)]} \quad (n \geq 3).$$

Proof. For analytic functions f , given by (1.1), we have

$$(2.2) \quad 1 + \frac{1}{\tau}[f'(z) + \gamma z f''(z) - 1] = 1 + \sum_{n=2}^{\infty} \frac{1}{\tau}[1 + \gamma(n-1)]n a_n z^{n-1}$$

and for its inverse map $g = f^{-1}$, given by (1.2), we have

$$(2.3) \quad 1 + \frac{1}{\tau}[g'(w) + \gamma w g''(w) - 1] = 1 + \sum_{n=2}^{\infty} \frac{1}{\tau}[1 + \gamma(n-1)]n A_n w^{n-1}.$$

Considering the equality (1.3), the above equality yields

$$(2.4) \quad \begin{aligned} & 1 + \frac{1}{\tau}[g'(w) + \gamma w g''(w) - 1] \\ &= 1 + \sum_{n=2}^{\infty} \frac{1}{\tau}[1 + \gamma(n-1)]K_{n-1}^{-n}(a_2, a_3, \dots, a_n)w^{n-1}. \end{aligned}$$

On the other hand, since $f \in \Sigma(\tau, \gamma, \varphi)$ and $g = f^{-1} \in \Sigma(\tau, \gamma, \varphi)$, there are two Schwarz functions $u, v : \mathbb{U} \rightarrow \mathbb{U}$ with $u(0) = v(0) = 0$, which are given by (1.5), so that

$$(2.5) \quad 1 + \frac{1}{\tau}[f'(z) + \gamma z f''(z) - 1] = \varphi(u(z)),$$

and

$$(2.6) \quad 1 + \frac{1}{\tau}[g'(w) + \gamma w g''(w) - 1] = \varphi(v(w)).$$

Also, by (1.4) we get

$$(2.7) \quad \begin{aligned} \varphi(u(z)) &= 1 + B_1 p_1 z + (B_1 p_2 + B_2 p_1^2) z^2 + \dots \\ &= 1 + \sum_{n=1}^{\infty} \sum_{k=1}^n B_k D_n^k(p_1, p_2, \dots, p_n) z^n, \end{aligned}$$

and

$$(2.8) \quad \begin{aligned} \varphi(v(w)) &= 1 + B_1 q_1 w + (B_1 q_2 + B_2 q_1^2) w^2 + \dots \\ &= 1 + \sum_{n=1}^{\infty} \sum_{k=1}^n B_k D_n^k(q_1, q_2, \dots, q_n) w^n. \end{aligned}$$

Comparing the corresponding coefficients of (2.2) and (2.5) with (2.7) we obtain

$$(2.9) \quad \frac{1}{\tau}[1 + \gamma(n-1)]na_n = \sum_{k=1}^{n-1} B_k D_{n-1}^k(p_1, p_2, \dots, p_{n-1}) \quad (n \geq 2).$$

Similarly, from (2.3) and (2.6) with (2.8) we get

$$(2.10) \quad \begin{aligned} & \frac{1}{\tau}[1 + \gamma(n-1)]K_{n-1}^{-n}(a_2, a_3, \dots, a_n) \\ &= \sum_{k=1}^{n-1} B_k D_{n-1}^k(q_1, q_2, \dots, q_{n-1}) \quad (n \geq 2). \end{aligned}$$

Now, from $a_k = 0$ for $2 \leq k \leq n-1$, we have $A_n = -a_n$ and the equalities (2.9) and (2.10) yield

$$(2.11) \quad \begin{aligned} [1 + \gamma(n-1)]na_n &= \tau B_1 p_{n-1}, \\ -[1 + \gamma(n-1)]na_n &= \tau B_1 q_{n-1}. \end{aligned}$$

Now taking the absolute values of either of the above two equations in (2.11) and using (1.6), we obtain (2.1) and this completes the proof of the theorem. \square

Corollary 1. For $0 \leq \gamma \leq 1$, $\tau \in \mathbb{C} \setminus \{0\}$ and $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha$ ($0 < \alpha \leq 1$), let the function $f \in \Sigma(\tau, \gamma, \varphi)$ be given by (1.1). If $a_k = 0$ for $2 \leq k \leq n-1$, then

$$|a_n| \leq \frac{2|\tau|\alpha}{n[1 + \gamma(n-1)]} \quad (n \geq 3).$$

Corollary 2. For $0 \leq \gamma \leq 1$, $\tau \in \mathbb{C} \setminus \{0\}$ and $\varphi(z) = \frac{1+(1-2\beta)z}{1-z}$ ($0 \leq \beta < 1$), let the function $f \in \Sigma(\tau, \gamma, \varphi)$ be given by (1.1). If $a_k = 0$ for $2 \leq k \leq n-1$, then

$$|a_n| \leq \frac{2|\tau|(1-\beta)}{n[1 + \gamma(n-1)]} \quad (n \geq 3).$$

Remark 1. By taking $\tau = 1$ in Corollary 2, we get results obtained by Srivastava et al. [13, Theorem 1], for all $0 \leq \gamma \leq 1$.

Theorem 2. For $0 \leq \gamma \leq 1$ and $\tau \in \mathbb{C} \setminus \{0\}$, let the function $f \in \Sigma(\tau, \gamma, \varphi)$ be given by (1.1). Then one has the following

$$(2.12) \quad |a_2| \leq \frac{|\tau| B_1 \sqrt{B_1}}{\sqrt{4(1+\gamma)^2 B_1 + |3(1+2\gamma)\tau B_1^2 - 4(1+\gamma)^2 B_2|}}$$

and

$$(2.13) \quad |a_3| \leq \min \{k(\gamma), l(\gamma)\},$$

where

$$l(\gamma) = \begin{cases} \frac{|\tau| B_1}{3(1+2\gamma)} \times \frac{3(1+2\gamma)|\tau| B_1^2 + |3(1+2\gamma)\tau B_1^2 - 4(1+\gamma)^2 B_2|}{4(1+\gamma)^2 B_1 + |3(1+2\gamma)\tau B_1^2 - 4(1+\gamma)^2 B_2|}, & B_1 \geq \frac{4(1+\gamma)^2}{3|\tau|(1+2\gamma)} \\ \frac{|\tau| B_1}{3(1+2\gamma)}, & 0 < B_1 \leq \frac{4(1+\gamma)^2}{3|\tau|(1+2\gamma)} \end{cases}$$

and

$$k(\gamma) = \begin{cases} \frac{\tau|B_2|}{3(1+2\gamma)}, & |B_2| > B_1 \\ \frac{\tau B_1}{3(1+2\gamma)}, & |B_2| \leq B_1. \end{cases}$$

Proof. If we set $n = 2$ and $n = 3$ in (2.9) and (2.10), respectively, we get

$$(2.14) \quad \frac{2(1+\gamma)}{\tau} a_2 = B_1 p_1,$$

$$(2.15) \quad \frac{3(1+2\gamma)}{\tau} a_3 = B_1 p_2 + B_2 p_1^2,$$

$$(2.16) \quad -\frac{2(1+\gamma)}{\tau} a_2 = B_1 q_1,$$

$$(2.17) \quad \frac{3(1+2\gamma)}{\tau} (2a_2^2 - a_3) = B_1 q_2 + B_2 q_1^2.$$

From (2.14) and (2.16), we get

$$(2.18) \quad p_1 = -q_1.$$

Adding (2.15) and (2.17), and using (2.18), we have

$$(2.19) \quad 6(1+2\gamma)a_2^2 - 2\tau B_2 p_1^2 = \tau B_1 (p_2 + q_2).$$

From (2.14), we get

$$[6\tau(1+2\gamma)B_1^2 - 8(1+\gamma)^2 B_2] a_2^2 = \tau^2 B_1^3 (p_2 + q_2).$$

By (1.6), (2.14) and (2.18), we obtain

$$(2.20) \quad \begin{aligned} |6\tau(1+2\gamma)B_1^2 - 8(1+\gamma)^2 B_2| |a_2|^2 &\leq |\tau|^2 B_1^3 (|p_2| + |q_2|) \\ &\leq 2|\tau|^2 B_1^3 (1 - |p_1|^2) \\ &= 2|\tau|^2 B_1^3 - 8(1+\gamma)^2 B_1 |a_2|^2. \end{aligned}$$

Consequently

$$|a_2|^2 \leq \frac{|\tau|^2 B_1^3}{4(1+\gamma)^2 B_1 + |3(1+2\gamma)\tau B_1^2 - 4(1+\gamma)^2 B_2|}.$$

So we obtain the bound on $|a_2|$ in (2.12).

Next, in order to find the bound on the coefficient $|a_3|$, by subtracting (2.17) from (2.15), and using (2.18), we get

$$6(1+2\gamma)a_3 = 6(1+2\gamma)a_2^2 + \tau B_1 (p_2 - q_2).$$

Using (1.6), we have

$$\begin{aligned} 6(1+2\gamma) |a_3| &\leq 6(1+2\gamma) |a_2|^2 + |\tau| B_1 (|p_2| + |q_2|) \\ &\leq 6(1+2\gamma) |a_2|^2 + 2|\tau| B_1 (1 - |p_1|^2). \end{aligned}$$

From (2.14), we get

$$(2.21) \quad 3|\tau|(1+2\gamma)B_1 |a_3| \leq |\tau|^2 B_1^2 + [3|\tau|(1+2\gamma)B_1 - 4(1+\gamma)^2] |a_2|^2.$$

On the other hand from (2.15), we have

$$3(1 + 2\gamma)|a_3| \leq \tau[B_1(1 - |p_1|^2) + |B_2||p_1|^2].$$

Consequently,

$$(2.22) \quad |a_3| \leq \begin{cases} \frac{\tau|B_2|}{3(1+2\gamma)}, & |B_2| > B_1 \\ \frac{\tau B_1}{3(1+2\gamma)}, & |B_2| \leq B_1. \end{cases}$$

Hence, from (2.21) and (2.22), we obtain the desired estimate on $|a_3|$ given in (2.13). This completes the proof. \square

For $\tau = 1$ and $\gamma = 0$ we have the following corollary.

Corollary 3. *Let the function $f \in \Sigma(1, 0, \varphi)$ be given by (1.1). Then one has the following*

$$|a_3| \leq \min \{k(0), l(0)\},$$

where

$$l(0) = \begin{cases} \frac{B_1}{3} \times \frac{3B_1^2 + |3B_1^2 - 4B_2|}{4B_1 + |3B_1^2 - 4B_2|}, & B_1 \geq \frac{4}{3} \\ \frac{B_1}{3}, & 0 < B_1 \leq \frac{4}{3} \end{cases}$$

and

$$k(0) = \begin{cases} \frac{|B_2|}{3}, & |B_2| > B_1 \\ \frac{B_1}{3}, & |B_2| \leq B_1. \end{cases}$$

Remark 2. Theorem 2 is an improvement of the estimates obtained by Srivastava and Bansal [12, Theorem 1].

Remark 3. If we take $\gamma = 0$ and $\tau = 1$ in Theorem 2, then we get an improvement of the estimates obtained by Ali et al. [4, Theorem 2.1].

Remark 4. If we take $\tau = 1$ and $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha$ ($0 < \alpha \leq 1$) in Theorem 2, then for $|a_3|$, we have an improvement of the estimates which were given by Frasin, and for $|a_2|$ is the same [8, Theorem 2.2] for all $0 < \gamma \leq 1$.

Remark 5. If we set $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha$ ($0 < \alpha \leq 1$) in Corollary 3, then for $|a_3|$, we have the estimates which were given by Zaprawa [17, Corollary 3] and an improvement of the estimates which were given by Srivastava et al. [14, Theorem 1].

Remark 6. If we take $\tau = 1$ and $\varphi(z) = \frac{1+(1-2\beta)z}{1-z}$ ($0 \leq \beta < 1$) in Theorem 2, then we get an improvement of the estimates obtained by Srivastava et al. [13, Theorem 1], for all $0 \leq \gamma \leq 1$ (see also [8, Theorem 3.2]).

Remark 7. If we set $\varphi(z) = \frac{1+(1-2\beta)z}{1-z}$ ($0 \leq \beta < 1$) in Corollary 3, then we have the estimates which were given by Zaprawa [17, Corollary 4] and an improvement of the estimates which were given by Srivastava et al. [14, Theorem 2].

Remark 8. Recently, Yang and Liu [16] proved that if $f \in \mathcal{A}$ and

$$\Re(f'(z) + \gamma z f''(z)) > \beta \quad (\gamma > 0, 0 \leq \beta < 1),$$

then $f \in \mathcal{S}$ if and only if

$$2(1 - \beta) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\gamma m + 1} \leq 1.$$

So the condition $2(1 - \beta) \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{\gamma m + 1} \leq 1$ in [8, Definition 3.1] is not necessary.

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